

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

27683

7590

07/15/2002

HAYNES AND BOONE, LLP 901 MAIN STREET, SUITE 3100 **DALLAS, TX 75202** 

**EXAMINER** HARRIS, CHANDA L ART UNIT

**CLASS-SUBCLASS** 

3714

434-323000

DATE MAILED: 07/15/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/690,223	10/17/2000	Dennis Ray Berman	29554.3	1492

TITLE OF INVENTION: LEARNING SYSTEM WITH LEARNER-CONSTRUCTED RESPONSE BASED TESTING METHODOLOGY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$640	\$0	\$640	10/15/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED, THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

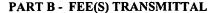
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

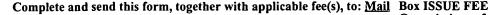
☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.





Commissioner for Patents Washington, D.C. 20231

(703)746-4000 <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

27683

7590

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Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmistal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)	· ·
(Signature)	
(Date)	

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09/690,223	10/17/2000	Dennis Ray Berman	29554.3	1492

TITLE OF INVENTION: LEARNING SYSTEM WITH LEARNER-CONSTRUCTED RESPONSE BASED TESTING METHODOLOGY

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nonprovisional YES		\$640	\$0	\$640	10/15/2002
EXAMI		ART UNIT	CLASS-SUBCLASS		
HARRIS, CI	HANDA L	3714	434-323000		
1. Change of corresponder CFR 1.363).	nce address or indication of	Fee Address" (37	2. For printing on the paten the names of up to 3 register	ered patent attorneys	
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			or agents OR, alternatively, single firm (having as a n attorney or agent) and the		
			registered patent attorneys o is listed, no name will be prir	r agents. If no name	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or cate; 4a. The following fee(s) are enclosed:	gories (will not be printed on the patent) individual corporation or other private group entity government government depends on the patent group entity				
☐ Issue Fee	☐ A check in the amount of the fee(s) is enclosed.				
□ Publication Fee	☐ Payment by credit card. Form PTO-2038 is attached.				
□ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).				

(Authorized Signature) (Date)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



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09/690,223		10/17/2000	Dennis Ray Berman	29554.3	1492	
27683	7590	07/15/2002		EXAMINI	ER .	
HAYNES AND	D BOON			HARRIS, CHANDA L		
901 MAIN STR DALLAS, TX	-	EET, SUITE 3100 5202		ART UNIT	PAPER NUMBER	
,	,			3714		
				DATE MAILED: 07/15/2002		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 128 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 128 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)



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27683	7590	07/15/2002		EXAM	
HAYNES AN	D BOON	JE, LLP		HARRIS, CHANDA L	
901 MAIN STREET, SUITE 3100			_		
DALLAS, TX 7	DALLAS, TX 75202 JNITED STATES			ART UNIT	PAPER NUMBER
UNITED STAT			ED STATES	3714	
				DATE MAILED: 07/15/2002	

## Notice of Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Notice of Proposed Rulemaking, 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2002, 37 CFR 1.18 is proposed to be revised to change the patent issue fees as set forth below. As stated above, the final fees may be a different amount, and applicant should check the web site given above when paying the fee.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.27(a))--\$655.00 By other than a small entity--\$1,310.00

(b) Issue fee for issuing a design patent:

By a small entity (Sec. 1.27(a))--\$235.00 By other than a small entity--\$470.00

(c) Issue fee for issuing a plant patent:

By a small entity (Sec. 1.27(a))--\$315.00

By other than a small entity--\$630.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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	Application No.	Applicant(s)					
Notice of Allowahility	Notice of Allowability 09/690,223		RAY				
Notice of Allowability	Examiner	Art Unit	T				
	Chanda L. Harris	3714					
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in th or other appropriate communic GHTS. This application is sub	is application. If not includ cation will be mailed in due	ed course. THIS				
<ol> <li>This communication is responsive to <u>correspondence filed</u></li> <li>The allowed claim(s) is/are <u>4,8,14,18-23</u>.</li> <li>The drawings filed on are accepted by the Examiner</li> <li>Acknowledgment is made of a claim for foreign priority und a) All b) Some* c) None of the:         <ol> <li>Certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> </ol> </li> <li>* Certified copies of the certified copies of the priority documents have and the priority documents have are copies of the certified copies of the priority documents have are copies of the certified copies of the priority documents have are copies.</li> <li>Acknowledgment is made of a claim for domestic priority under the copies.</li> <li>The translation of the foreign language provisional are copies.</li> </ol>	er 35 U.S.C. § 119(a)-(d) or (f) been received. been received in Application Numents have been received in der 35 U.S.C. § 119(e) (to a pi	lo this national stage applica	ition from the				
6. Acknowledgment is made of a claim for domestic priority un Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of t	this communication to file a rep	oly complying with the requ	irements noted EXTENDABLE.				
7. A SUBSTITUTE OATH OR DECLARATION must be submi			NOTICE OF				
1) ☑ hereto or 2) ☐ to Paper No  (b) ☐ including changes required by the proposed drawing compared to the proposed draw	<ul> <li>(a) ⊠ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached</li> <li>1) ⊠ hereto or 2) ☐ to Paper No</li> <li>(b) ☐ including changes required by the proposed drawing correction filed, which has been approved by the Examiner.</li> <li>(c) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No</li> </ul>						
9. DEPOSIT OF and/or INFORMATION about the depos attached Examiner's comment regarding REQUIREMENT FOR THE COMMENT FOR THE CO	it of BIOLOGICAL MATERI	AL must be submitted. I					
Attachment(s)	.ttachment(s)						
<ul> <li>1  Notice of References Cited (PTO-892)</li> <li>3  Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>5  Information Disclosure Statements (PTO-1449), Paper No</li> <li>7  Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	4☐ Interview St 6☐ Examiner's	formal Patent Application ( ummary (PTO-413), Paper Amendment/Comment Statement of Reasons for a DS.	No				
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Application/Control Number: 09/690,223

Art Unit: 3714

# **DETAILED ACTION**

# Information Disclosure Statement

There is a line drawn through reference AC because it has already been considered and cited on the PTO-892 (Notice of References Cited) in the last office action.

## Reasons for Allowance

- 1. Claims 4,8,14, and 18-23 are allowed.
- 2. The following is an examiner's statement of reasons for allowance: Patentability is seen in, although not limited to, the combination including the method comprising the step of, "upon a determination of failure of the learner, providing remedial information to the learner and again prompting the learner to enter a learner constructed response" (Claim 18 and similar language in independent Claims 4 and 14). The prior art of record does not teach or fairly suggest this feature in the combination.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chanda L. Harris whose telephone number is 703-308-8358. The examiner can normally be reached on M-F 6:30am-4:00pm.

Application/Control Number: 09/690,223

Art Unit: 3714

Page 3

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Valencia Martin-Wallace can be reached on 703-308-4119. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9302 for regular communications and 703-872-9303 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1148.

> Chanda L. Harris Examiner Art Unit 3714

July 11, 2002